



# 401(a) Retirement Plan AND 457(b) Deferred Compensation Plan



RSVP FOR THE  
INVESTMENT FAIR BY  
MARCH 31, 2020



# Spring Into Financial Wellness Investment Fair

RSVP FOR THE INVESTMENT FAIR

On Thursday, April 23, 2020, you will have a special opportunity to learn from financial experts at the **Spring Into Financial Wellness** Investment Fair. This educational event features a nationally recognized keynote speaker, break-out sessions focusing on financial topics for employees at all career stages, and a social hour where you can talk directly with representatives from several of the investment funds that are available in your 457(b) Deferred Compensation Plan (“457(b) Plan”) and 401(a) Retirement Plan (“401(a) Plan”). The theme is the District of Champions — and like the national success of our hockey, baseball, and women’s basketball teams in the District of Columbia (“DC”), you will learn a winning strategy for your finances and investing for the future.



## Keynote Speaker John Rogers, Jr., Chairman, Co-CEO and Chief Investment Officer Ariel Investments

We are honored to have John W. Rogers, Jr., as the keynote speaker for this special event. Mr. Rogers is the Chairman, Co-CEO and Chief Investment Officer of Ariel Investments, an award-winning investment firm whose fund is available in your 457(b) Plan and 401(a) Plan.

Mr. Roger’s passion for investing began at age 12 when his father began buying him stocks as Christmas and birthday gifts. After graduating from Princeton with a major in economics, he worked as a stockbroker for two years, then founded Ariel Investments in 1983, which focuses on patient, value investing within small- and medium-sized companies. Mr. Rogers has received national awards as fund manager of the year and was highlighted alongside legendary investors Warren Buffett, Sir John Templeton, and Ben Graham in *The World’s 99 Greatest Investors*. In his role, Mr. Rogers continues to serve as lead portfolio manager for the Ariel Fund. He is also a member of the board of directors of McDonald’s, NIKE, and The New York Times Company, and is vice chair of the board of trustees of the University of Chicago. He is a director of the Robert F. Kennedy Center for Justice and Human Rights and recently joined the Barack Obama Foundation’s Board of Directors. In 2008, Mr. Rogers was awarded Princeton University’s highest honor, the Woodrow Wilson Award, presented each year to the alumnus or alumnae whose career embodies a commitment to national service.

Promoting financial education is a priority for Mr. Rogers. In his keynote presentation, he will provide you with insights into saving successfully to reach your retirement goals.

The investment fair will also feature informative break-out sessions by financial experts for employees at all career stages:

## Educational Sessions — 3:00 p.m. to 4:15 p.m.

### EARLY-CAREER EMPLOYEES AND THOSE WHO ARE NEW TO THE DISTRICT CAN LEARN ABOUT:

- ★ **Budgeting and understanding credit** from Capital Area Asset Builders (CAAB), a non-profit organization that focuses on helping individuals in the DC region build financial security
- ★ **College savings** from Ascensus, the DC College Savings Plan 529 provider
- ★ **[www.DCRetire.com](http://www.DCRetire.com)** (DC Government retirement website) and saving in your 457(b) Plan and 401(a) Plan
- ★ **Technology** that makes it easy to access retirement-savings information and to monitor your account from an ICMA-RC Retirement Plans Specialist

### MID-CAREER EMPLOYEES CAN LEARN ABOUT:

- ★ **Retirement and investing in a volatile market** from Vanguard's CERTIFIED FINANCIAL PLANNER® professional
- ★ **College savings** from Ascensus, the DC College Savings Plan 529 provider
- ★ **[www.DCRetire.com](http://www.DCRetire.com)** and resources to help you access, monitor, and invest in your 457(b) Plan and 401(a) Plan

### PRE-RETIREMENT EMPLOYEES AND RETIREES CAN LEARN ABOUT:

- ★ **Investing in a volatile market** from Vanguard
- ★ **Important Social Security decisions** from an ICMA-RC CERTIFIED FINANCIAL PLANNER® professional
- ★ **Civil Service Retirement System** from the DC Government's Department of Human Resources
- ★ **More on resources, tools, and technologies** to help you monitor your retirement savings and how Guided Pathways® Advisory Services can provide professional investment advice for your accounts, from an ICMA-RC Retirement Plans Specialist

## Benefit Fair and Social Hour — 4:15 p.m. to 5:15 p.m.

Following these engaging sessions, you are invited to an interactive social hour with refreshments, where you and your fellow co-workers will have the opportunity to connect with some of the financial experts behind the investments available to you through your 457(b) Plan and 401(a) Plan. You will be able to meet with representatives from Ariel Investments, American Funds, Vanguard, Ascensus, and ICMA-RC.

**Keynote Speaker John Rogers, Jr., Ariel Investments — 5:30 p.m. to 6:30 p.m.**

### **Reserve Your Seat!**

The *Spring Into Financial Wellness* Investment Fair will take place on Thursday, April 23, 2020, from 3:00 p.m. to 6:30 p.m. at the D.C. Department of Human Resources at 1015 Half Street, SE, in the 9th floor conference rooms. **RSVP at this link** or by contacting Alexis Kemp at [akemp@icmarc.org](mailto:akemp@icmarc.org) or (202) 682-6419 by March 31, 2020, if you would like to attend. Watch for more information in upcoming newsletters or at [www.DCRetire.com](http://www.DCRetire.com).

Please note that seating is limited to the **first 600 guests**.

**Note:** Employees will be allowed up to two hours paid time off to attend the Investment Fair with prior approval from their manager/supervisor. Attendance will be verified at the event. Also note that as a participant in the event, you may be recorded or photographed during the event and may also be invited to share your thoughts about the experience.

# e-Survey Coming Soon!



## Reminder: Your Feedback Matters

The District of Columbia offers you two retirement plans — a 401(a) Retirement Plan and 457(b) Deferred Compensation Plan (“Plans”) — to help you build retirement security. We would like to hear your feedback about your experience with ICMA-RC (recordkeeper) and how we can further enhance these plans for you. Shortly you will be receiving an electronic survey via email. We welcome any comments or suggestions you may have about the Plans. An independent consulting firm will collate responses, perform an analysis and information will be kept confidential.

Thank you in advance for participating!



# Access Your Resources



## Local Offices

### D.C. DEPARTMENT OF HUMAN RESOURCES

1015 Half Street, SE, 9th Floor  
Washington, DC 20003

**Monday – Friday**  
9:00 a.m. – 5:00 p.m.

(202) 442-9749 or (202) 442-9640

[SCHEDULE AN APPOINTMENT](#)

### ICMA-RC

777 North Capitol Street, NE, 8th Floor  
Washington, DC 20002

**Monday – Friday**  
9:00 a.m. – 4:30 p.m.

(202) 759-7190

[SCHEDULE AN APPOINTMENT](#)



## Call Center

(800) 669-7400



## TDD

(800) 669-7471



## Email

[service@DCRetire.com](mailto:service@DCRetire.com)



## Website

[www.DCRetire.com](http://www.DCRetire.com)